# WHAT IS INTERPRETED FROM FRACTURE SURFACES IN CONCRETE ? 

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#### Abstract

Based on a three-dimensional spatial measurement, several parameters to analyze quantitatively features of fracture surfaces in different types of cementitious composite materials are presented. The parameters are ratio of real surface area to the projected area, interface area, orientation of facets in the fracture surface, surface fractal dimension, and line fractal dimension. Then the fracture properties and resisting mechanisms are discussed with relation to these parameters.


## 1 Introduction

It has been generally accepted that crack growth in concrete is associated with a nonlinear region in front of the crack tip, called fracture process zone (FPZ), but its detailed mechanisms are still ambiguous. While fracture energy $G_{F}$ has been found to be a nonlinear fracture mechanics parameter to represent the crack resisting properties of concrete, $G_{F}$ is defined as the energy absorbed to create a unite area of projected
fracture surface (RILEM, 1985). Studies on FPZ such as the crack face bridging in concrete by Van Mier (1991), microcracks in terms of threedimensional acoustic emission by Mihashi and Nomura (1992a), and visualization by means of X-ray technique by Otsuka (1994) suggested that there are many cracks occurred inside from the final fracture surfaces on fully separated specimens and that topological measurements of the surface are not sufficient to characterize the whole fracture mechanisms. However, many researchers often experience that large amount of $G_{F}$ is usually gained in concrete whose fracture surfaces are very rough. Sometimes the cause of large fracture energy is explained by the increasing real fracture surface, though any qualitative studies have not proved it yet. It may mean that a certain information about the fracture properties is still hidden in the features of fracture surfaces. For example, Mecholsky et al. (1989) showed that the fractal dimension is related to the toughness of aluminum and glass-ceramics.

The objective of this paper is to study parameters which can quantify the characteristics of features in the fracture surface such as ratio of real surface area to the projected area, interface area, orientation of facets in the fracture surface, surface fractal dimension, and line fractal dimension as well as distributions of these parameters.

## 2 Analytical procedures of fracture surfaces

### 2.1 Measurement of fracture surface

Elevation of fracture surfaces is measured by means of a laser displacement censor (Fig. 1) at intervals of 0.2 or 0.4 mm which constructs a fracture surface map as triangular network of $251 \times 251$ mesh data (Fig. 2) to digitize the realistic fracture surface.

Since it is hardly possible to characterize features of fracture surfaces in concrete excluding the influence of aggregates in order to study the fracture mechanism, image information of aggregates is essential to be combined.

By means of a video camera, the photographs are input into the image processing apparatus in which the analogue information of $100 \times 100 \mathrm{~mm}^{2}$ is transformed into $800 \times 800$ picture elements to get a binary digital image information. Then on the basis of linear transformation, these digital images are correlated to the mesh data. These treatments relate the location, shape and size of mortar-aggregate interfaces and/or broken aggregates to the fracture surface map.


Fig. 1 Measurement of surface


Fig. 2 Fracture surface map

### 2.2 Fracture surface area

The basic problem in quantifying the true magnitudes of features in the nonplanar fracture surface is that the elevation information and the area of a fracture surface must be known. In case of cementitious composite materials, the summation of areas of triangular elements could be the estimation of fracture surface area. Although this procedure allows the fracture surface area to be estimated, it is essentially no more than an approximation of the complex and irregular fracture surfaces. The apparent measured area of the same fracture surface obviously increases as the interval size decreases.

### 2.3 Fractal dimension

It is well-known that ordinary measurements become meaningless to measure complex curves and surfaces. There is a way, however, to measure the degree of the complexity by evaluating how fast the length, or the surface area increases with the measurement of smaller and smaller scales. The fundamental idea is to assume that the two quantities, - i.e. length or surface, and scale - don't vary arbitrarily but rather are related by a law. The kind of law which seems to be relevant is a power law of the form $\mathrm{y} \propto \mathrm{X}^{\mathrm{D}}$ and the exponent D is called fractal dimension.

Surface fractal dimension $\mathrm{D}_{\mathrm{S}}$ is determined by eq.(1) (Fig. 3) and line fractal dimension $\mathrm{D}_{\mathrm{L}}$ is determined by eq.(2).

$$
\begin{align*}
& S\left(\eta^{2}\right)=S_{0} \cdot\left(\eta^{2}\right)^{-\frac{\text { DS }-2}{2}}  \tag{1}\\
& L(\eta)=L_{0} \cdot \eta^{-\left(\mathrm{D}_{\mathrm{L}}-1\right)} \tag{2}
\end{align*}
$$

where $\eta$ is the mesh size to measure, $S\left(\eta^{2}\right)$ is the area and $L(\eta)$ is the length measured with the mesh size $\eta, S_{0}$ and $L_{0}$ are constants. $D_{L}$ represents the roughness properties of a profile, while Ds describes the properties of the whole surface. In this study, variation of $\mathrm{D}_{\mathrm{L}}$ was measured for scanning lines in two directions on the fracture surface
(Fig. 4) besides Ds. Although the most popular block counting method was also applied to analyze the surface fractal dimension, the accuracy was much lower th. 1 that of the present method.


Fig. 3 Suraface fractal dimension


Fig. 4 Scanning lines for fractal dimension

### 2.4 Roughness parameter

If the roughness parameter is known, it may enable simple relationships to be set up for features in the fracture surface. The surface roughness parameter (Rs) is defined by eq.(3).

$$
\begin{equation*}
\mathrm{Rs}=\mathrm{S}_{\mathrm{f}} / \mathrm{A}^{\prime} \tag{3}
\end{equation*}
$$

where $\mathrm{S}_{\mathrm{f}}$ is the surface area measured with the finest mesh size and $A^{\prime}$ is projected area of the fracture surface.

### 2.5 Distribution of facet orientation

Once the fracture surface map is obtained, orientation of a facet which is a triangular small surface in the map can be analyzed with angles $\theta$ and $\phi$ defined in Fig. 5. These angles were evaluated as the mean values of every 5 meshes in this study.

When the fracture surface is very rough, the angle $\theta$ distributes very closely to $90^{\circ}$. On the other hand, $\theta$ is almost $0^{\circ}$ when the fracture
behavior is brittle and the surface is very flat. If $\theta$ of all facets are $0^{\circ}$ the whole fracture mode is purely Mode I. If not, mixed mode fracture locally occurred in the fracture process.

Angle $\phi$ shows the orientation of cracking. For example, $\phi=0^{\circ}$ or $180^{\circ}$ is observed when the crack deflects around an inclusion (Fig. 6). From the probability density function (PDF) of $\phi$, the fracture mode may be subdivided into three patterns as shown in Fig. 7. Pattern I is related to a brittle fracture, pattern II is caused by local deflection due to uniformly distributed inclusions, and pattern III has relevance to random deflection caused by quite heterogeneous structures.


Fig. 5 Definition of angle


Fig. 6 Angle $\phi$ and crack deflection


Fig. 7 Fracture patterns defined with angle $\phi$

## 3 Outline of test series

In this study, there are three test series experimented to analyze features of the fracture surface as follows:
Series I: Wedge splitting tests of mortar reinforced with short fibers,
Series II: Double cantilever beam tests of concrete with different aggregate size,
Series III: Three-point-bend tests of notched beams of concrete of three different strength levels.
In Series I, three types of chopped fiber were contained in fiber reinforced mortar, which were high modulus PVA (vinylon) in specimen VINYL, pitch type carbon fiber in specimen PITCH, and PAN type carbon fiber in specimens PAN and PANSI(with silicafume by the weight of $30 \%$ ). The volume content of all these fibers were $3 \%$. Properties of these fibers are given in Table 1. Water-cement ratio was 0.40 and sand-cement ratio was 1.5 . Geometry and dimension of the fracture surface is shown in Fig. 8. The elevation of the fracture surface was measured at intervals of 0.2 mm . More details of the testing conditions are shown in Mihashi et al. (1992b).


Fig. 8 Dimension of fracture surface in Series I
Table 1. Properties of fiber

| Fiber/Type | Length(mm) | E(MPa) | $\mathrm{ft}(\mathrm{MPa})$ | Elongation(\%) |
| :--- | ---: | ---: | ---: | ---: |
| Carbon/PAN | 6 | 235000 | 4217 | 1.8 |
| Carbon/pitch | 6 | 33000 | 790 | 2.4 |
| PVA/Vinylon-AA | 6 | 39500 | 1834 | 6.7 |

In Series II, mortar and plain concrete containing only a certain range of gravel grain size were tested (Table 2). Geometry of the specimen is shown in Fig. 9. While the size of fracture surface $250 \times 100$ $\mathrm{mm}^{2}$ was too wide to measure, following the crack propagation process, the first $100 \times 100 \mathrm{~mm}^{2}$ part (Par I) close to the notch tip and the second
$100 \times 100 \mathrm{~mm}^{2}$ part (Part P) close to the edge were planned to measure at intervals of 0.4 mm . According to results of AE monitoring (Mihashi et al. 1992a), the head of the FPZ is located at about 90 mm distanced from the notch tip independently of the aggregate size when the maximum load was recorded. Therefore the Part I may contain some informations of whole fracture process, and the Part P may relate only to the fracture process of descending part in the load-deflection curve.


Fig. 9 Geometry of specimen in Series II
Table 2. Mix proportion and compressive strength in Series II

| Group | Size $(\mathrm{mm})$ | $\mathrm{G}\left(\mathrm{kg} / \mathrm{m}^{3}\right)$ | $\mathrm{S}\left(\mathrm{kg} / \mathrm{m}^{3}\right)$ | $\mathrm{C}\left(\mathrm{kg} / \mathrm{m}^{3}\right)$ | $\mathrm{fc}(\mathrm{MPa})$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| AEM2 | -5 | - | 1146 | 740 | 40.4 |
| AEC101 | $5-10$ | 925 | 722 | 460 | 34.8 |
| AEC151 | $10-15$ | 936 | 722 | 460 | 33.3 |
| AEC201 | $15-20$ | 939 | 722 | 460 | 30.5 |
| AEC301 | $20-30$ | 939 | 722 | 460 | 24.9 |
| AEC302 | $20-30$ | 939 | 722 | 460 | 24.9 |

In Series III, size and volume of coarse aggregates were kept constant but strength of mortar was changed. Mix proportion and strength of concrete are shown in Table 3. Superplasticizer was used in high and medium strength concrete. The geometry of the specimen is shown in Fig. 10. Fracture surface was treated as two parts whose size was $50 \times 50 \mathrm{~mm}^{2}$. Each part were measured at intervals of 0.2 mm .


Fig. 10 Geometry of specimen in Series III

Table 3 Mix proportion of concrete in Series III

| Group dmax $(\mathrm{mm})$ | $W /(\mathrm{C}+\mathrm{Si})$ | $\mathrm{W}\left(\mathrm{kg} / \mathrm{m}^{3}\right)$ | $\mathrm{C}\left(\mathrm{kg} / \mathrm{m}^{3}\right)$ | $\mathrm{S}\left(\mathrm{kg} / \mathrm{m}^{3}\right)$ | $\mathrm{G}\left(\mathrm{kg} / \mathrm{m}^{3}\right)$ | $\mathrm{Si}\left(\mathrm{kg} / \mathrm{m}^{3}\right)$ | $\mathrm{fc}(\mathrm{MPa})$ |
| :---: | :---: | :---: | ---: | :---: | ---: | ---: | ---: |
| A2 | 25 | 0.65 | 227 | 349 | 721 | 1006 | 0 |
| A5 | 25 | 0.4 | 160 | 340 | 721 | 1006 | 60 |
| A10 | 25 | 0.2 | 111 | 444 | 721 | 1006 | 111 |
| B2 | 5 | 0.65 | 227 | 349 | 721 | 1006 | 0 |
| B5 | 5 | 0.4 | 160 | 340 | 721 | 1006 | 60 |
| B1 | 5 | 0.2 | 111 | 444 | 721 | 1006 | 111 |
| C2 | - | 0.65 | 227 | 349 | 721 | 0 | 105.9 |
| C5 | - | 0.4 | 160 | 340 | 721 | 0 | 60 |
| C1 | - | 0.2 | 111 | 444 | 721 | 0 | 111 |

## 4 Results and discussion

### 4.1 Fractal dimension and roughness parameter

Surface fractal dimension Ds, line fractal dimension in two directions ( $\mathrm{D}_{\mathrm{Lx}}, \mathrm{D}_{\mathrm{Ly}}$ ) and roughness parameter Rs of each fracture surface were analyzed as shown in Appendix (Table A-1). Generally speaking Ds is


Fig. 11 Relation between $\mathrm{R}_{\mathrm{S}}$ and $\mathrm{D}_{\mathrm{S}}$


Fig. 12 An example of line fractal dimension and spectrum


Fig. 13 Relation between $\mathrm{D}_{\mathrm{LX}}$ and Fig. 14 Relation between $\mathrm{D}_{\mathrm{LX}}$ and $\mathrm{D}_{\mathrm{LY}}$ $D_{\text {LY }}$ in Series I in Series II
the most relevant parameter to describe the roughness of fracture surface, as shown in Fig. 11. While Rs is an average of the surface roughness and it is insensitive to the local rough surface, Ds is influenced even by such a local rough surface. On the other hand, $D_{L}$ describe the surface roughness on the measured stripe. $\mathrm{D}_{\mathrm{Lx}}$ is generally larger than $\mathrm{D}_{\mathrm{Ly}}$ but both of them are almost the same in plain mortar and high


Fig. 15 Relation between $D_{L x}$ and $D_{L y}$ in Series III
strength concrete. Fig. 12 shows an example of line fractal dimension and their spectrum properties. Fig. 13, 14 and 15 show relations between $\mathrm{D}_{\mathrm{Lx}}$ and $\mathrm{D}_{\mathrm{Ly}}$. In all of these three series, each relation can be described as a power function whose exponent is rather closed each other.

Plots in Fig. 15 constitute three groups. Plots of high strength group (A10, B10 and C10) are diagonally distributed, though the scatter is rather large. On the other hand, plots of low strength group (A2, B2 and C 2 ) are deviated from the diagonal. Plots of the third group of medium strength (A5, B5 and C5) are in the middle of these two groups. In other words, the ratio of $D_{L x}$ to $D_{L y}$ deviates further from the unity as the heterogeneity increases.

### 4.2 True surface area

It might be worthwhile to notice that two parameters in Fig. 11 are related very closely with a unique equation for totally different cementitious composite materials. Although the measured surface area depends on the adopted interval size, the analyzed fractal dimension is in principle independent of the size. Therefore the true surface area can be given as a function of Ds as follows:

$$
\begin{equation*}
S=A^{\prime}\{\exp (D s-1.97)\} 1.50 \tag{4}
\end{equation*}
$$

### 4.3 Fractal dimension and toughness parameter

Ds is distributed within 2.038 and 2.229 in Series I, 2.069 and 2.125 in Series II, and 2.115 and 2.242 in Series III. While fracture surfaces of plain mortar are usually much flatter than those of other fiber reinforced mortar composites and concrete, it is reflected that the value of Ds for
mortar is smaller than other composites and concrete. However, it has been failed so far in finding any quantitative relations between Ds and fracture mechanics parameters except one which is shown in Fig. 16. Fig. 16 shows a relation between (Ds-2) and an equivalent toughness $\sqrt{E G F}$ in Series I. The reason why the toughness parameter of plain concrete is not related to Ds but fiber reinforced mortar does may be due to the degree of heterogeneity in the material structure.
$G_{F}$ of plain concrete was strongly correlated with the total interface area but it was independent of roughness parameter of aggregates Ras as shown in Fig. 17. While a larger value of Ras means that aggregates are more deeply embedded into the matrix by which bridging mechanism works, no correlation between Ras and $G_{F}$ may suggest that the energy absorption mechanism due to bridging of limited number of aggregates does not dominantly contribute to increase $G_{F}$ of plain concrete but that


Fig. 16 Relation between $\left(\mathrm{D}_{\mathrm{S}}-2\right)$ and $\sqrt{E G F}$


Fig. 17 Relation between $G_{F}$ and features of fracture surface
the deflection of cracks through interfaces is the main mechanism. Spectrum of $\mathrm{D}_{\mathrm{Ly}}$ in Fig. 12 shows that more tortuous crack propagation absorbs larger amount of $G_{F}$.

On the basis of the fictitious crack model with a bilinear tension softening diagram, the inverse analysis of load-displacement curves was performed to determine essential four parameters: $\mathrm{Ft}, \mathrm{S}_{1}, \mathrm{~W}_{1}$ and Wc (Wittmann et al., 1987). As shown in Fig. 18, there seems to be a certain relation between $W c$ and $D_{L x}$ but no relations are recognized between $D s$ and Wc.

(a)

(b)

Fig. 18 Relation between fractal dimension and $\mathrm{W}_{\mathrm{C}}$

### 4.4 Orientation of facets

As shown in Fig. 19 which is the frequency of $\theta$ in Series I, the peak


Fig. 19 Frequency of facet orientation in Series I
value of $\theta$ shifts from $0^{\circ}$ towards $90^{\circ}$ as $G_{F}$ increases. While the variation of $\theta$ was rather small in Series II, similar shifting tendencies of $\theta$ was recognized in Series III as the strength decreases.
$\phi$ distribution for plain mortar in Series I is classified into the group I but that for other fiber reinforced mortar in Series I and low strength concrete in Series III is done into group II defined in Fig. 6. Moreover $\phi$ distribution for high strength concrete in Series III is classified into group III.

## 5 Conclusions

Several parameters to analyze quantitatively features of fracture surfaces in various types of cementitious composite materials were presented. They are useful to study micromechanisms of the fracture especially for developing new cementitious materials. Further studies need to be done to relate these quantitative features to fracture mechanics parameters.

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Appendix Table A-1 Fractal dimension and roughness parameter of fracture surfaces
(1)Series I

| specimen | Surface <br> Fractal <br> D. | Line fractal D. <br> Dest.x <br> Dest.y |  | RS | GF <br> (N/m) |
| :---: | :---: | :---: | :---: | :---: | :---: |
| PLN1 | 2.038 | 1.018 | 1.013 | 1.079 | 60 |
| PLN2 | 2.048 | 1.022 | 1.015 | 1.198 |  |
| PLN3 | 2.038 | 1.022 | 1.014 | 1.151 |  |
| PS1 | 2.044 | 1.022 | 1.020 | 1.230 | 41 |
| PS2 | 2.041 | 1.016 | 1.021 | 1.216 |  |
| PS3 | 2.024 | 1.014 | 1.007 | 1.098 |  |
| VINYL1 | 2.138 | 1.100 | 1.064 | 1.637 | 1443 |
| VINYL2 | 2.124 | 1.087 | 1.049 | 1.455 |  |
| VINYL3 | 2.143 | 1.102 | 1.064 | 1.567 |  |
| PITCH1 | 2.110 | 1.141 | 1.096 | 1.568 | 1110 |
| PITCH2 | 2.091 | 1.059 | 1.045 | 1.457 |  |
| PITCH3 | 2.097 | 1.067 | 1.042 | 1.388 |  |
| PAN1 | 2.175 | 1.141 | 1.096 | 1.863 | 2411 |
| PAN2 | 2.124 | 1.085 | 1.072 | 1.552 |  |
| PAN3 | 2.180 | 1.138 | 1.097 | 1.961 |  |
| PANSI1 | 2.229 | 1.181 | 1.108 | 2.129 | 2080 |
| PANSI2 | 2.131 | 1.099 | 1.059 | 1.590 |  |
| PANSI3 | 2.145 | 1.102 | 1.071 | 1.653 |  |

(3)Series III

| Specimen | Surface <br> Fractal <br> D. | Line if Dest.x | ractal D. Dest.y | Rs | $\begin{gathered} \mathrm{G}_{\mathrm{F}} \\ (\mathrm{~N} / \mathrm{m}) \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| A2-1 | 2.149 | 1.119 | 1.074 | 1.966 | 265 |
| A2-2 | 2.192 | 1.155 | 1.099 | 2.137 | 176 |
| A2-3 | 2.188 | 1.143 | 1.084 | 1.930 | 121 |
| A5-1 | 2.138 | 1.096 | 1.067 | 1.722 | 124 |
| A5-2 | 2.216 | 1.138 | 1.119 | 2.188 | 125 |
| A5-3 | 2.146 | 1.088 | 1.072 | 1.678 | 118 |
| A $10-1$ | 2.109 | 1.071 | 1.048 | 1.482 | 131 |
| A10-2 | 2.161 | 1.089 | 1.088 | 1.780 | 129 |
| A 10-3 | 2.135 | 1.072 | 1.066 | 1.610 | 139 |
| B2-1 | 2.213 | 1.170 | 1.102 | 1.948 | 93 |
| B2-2 | 2.242 | 1.180 | 1.124 | 2.200 | 78 |
| B2-3 | 2.199 | 1.151 | 1.089 | 1.894 | 89 |
| R5-1 | 2.169 | 1.113 | 1.081 | 1.715 | - |
| B5-2 | 2.234 | 1.158 | 1.128 | 2.110 | 106 |
| B5-3 | 2.177 | 1.123 | 1.086 | 1.791 | 115 |
| B10-1 | 2.154 | 1.081 | 1.077 | 1.664 | 88 |
| 810-2 | 2.197 | 1.082 | 1.114 | 1.950 | 95 |
| B10-3 | 2.126 | 1.082 | 1.050 | 1.515 | 107 |
| C2-1 | 2.123 | 1.068 | 1.053 | 1.518 | 46 |
| C5-2 | 2.140 | 1.063 | 1.053 | 1.592 | 95 |
| C10-2 | 2.119 | 1.046 | 1.062 | 1.484 | 59 |

(2)Series II

| Specimen | Surface <br> Fractal <br> D. | Line fractal D. <br> Dest.x <br> Dest.y | $R_{S}$ | $\mathrm{G}_{\mathrm{F}}$ <br> $(\mathrm{N} / \mathrm{m})$ |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |
| AEM21 | 2.072 | 1.033 | 1.027 | 1.301 | 103 |
| AEM2P | 2.069 | 1.028 |  | 1.288 |  |
| AEC1011 | 2.121 | 1.072 | 1.056 | 1.516 | 129 |
| AEC101P | 2.125 | 1.074 |  | 1.515 |  |
| AEC1511 | 2.120 | 1.079 | 1.059 | 1.605 | 187 |
| AEC151P | 2.112 | 1.068 |  | 1.509 |  |
| AEC2011 | 2.102 | 1.062 | 1.052 | 1.473 | 170 |
| AEC201P | 2.107 | 1.076 |  | 1.573 |  |
| AEC3011 | 2.098 | 1.062 | 1.049 | 1.510 | 221 |
| AEC301P | 2.095 | 1.049 |  | 1.461 |  |
| AEC3021 | 2.094 | 1.048 | 1.047 | 1.451 | 155 |
| AEC302P | 2.102 | 1.068 |  | 1.584 |  |

